

United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450 www.uspio.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/714,483 11/17/2003 Simon C. Watt 550-471

CONFIRMATION NO. 6434

FORMALITIES LETTER

OC000000013286088

23117 NIXON & VANDERHYE, PC 1100 N GLEBE ROAD 8TH FLOOR ARLINGTON, VA 22201-4714

Date Mailed: 07/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2004 HDEMESS1 00000016 10714483

01 FC:1001 02 FC:1202 03 FC:1051 770.00 OP 342.00 OP 130.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 61, 69A.
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 3, 22, 31-33, 39, 44, 45, 47-52, 54, 59, 62, 63, 65, 66B, 68, 69B.

■ The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 43, 57, 58, 71B (too dark).

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$342 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1242 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$342
 - **\$342** for **19** total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

T.T)

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Patent Application of

Confirmation No. 6434

WATT et al

Serial No. 10/714,483

Atty Ref.:

550-471

Filed: November 17, 2003

TC/A.U.:

2183

For: MONITORING CONTROL FOR MULTI-

DOMAIN PROCESSORS

Examiner:

August 19, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Sir:

Enclosed herewith are 56 sheet(s) of formal, inked drawings for the aboveidentified application.

Respectfully submitted,

NIXON & VANDERHYE P.C.

Stanley C. Spooner Reg. No. 27,393

SCS:kmm

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Attention: Application Branch In re Patent Application of: Atty. Dkt. 550-471 WATT et al Serial No. 10/714,483 Date: August 19, 2004 November 17, 2003 Filed: MONITORING CONTROL FOR MOLTI-DOMAIN PROCESSORS For: Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 The attached <u>completes</u> filing of the above-identified patent application: **Correspondence Address Indication Form Attached.** Signed Rule 63 Declaration alone, Copy of Declaration from prior application alone, OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record the attached assignment to ARM Limited, Cambridge, United Kingdom and return to the undersianed. Attached is a Power of Attorney. Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Day/Month/Year Filed **Application Number** Country 0226887.8 UK 18 November 2002 UK 14 February 2003 0303449.3 respectively. Certified copy(ies) of foreign application(s) is/are attached. Certified copy(ies) filed on _____ in prior application no. _____, filed _ ☐ "Small entity" statement attached. Applicant claims "small entity" status. Please enter the attached preliminary amendment prior to calculation of filing fee. Also attached: Information Disclosure Statement; Nucleotide and/or Amino Acid Sequence Submission; M Other: Submission of Formal Drawings; Identification of Practitioners to be Recognized Fees due are calculated below: \$ Basic filing fee 770.00 \$ Total Effective claims 39 -20 =19 x \$ 18.00 342.00 2 -3 = x \$ Independent claims 0 86.00 \$ 0.00 If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper) \$ 0.00 **FILING FEE** 1,112.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months) \$ Surcharge (\$130.00) if Declaration or filing fee first now submitted \$ 130.00 \$ English translation of specification and claims (\$130.00) 0.00 FIRST SUBTOTAL \$ 1,242.00 -\$ If "small entity," enter half (1/2) of subtotal and subtract 0.00 SECOND SUBTOTAL 1.242.00 Assignment Recording Fee (\$40.00) 40.00 **TOTAL FEE ENCLOSED** 1,282.00 Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereatter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. 1100 North Glebe Road, 8th Floor NIXON & VANDERHYE P.C. Arlington, Virginia 22201-4714 By Atty: Stanley C. Spooner, Reg Telephone: (703) 816-4000 Facsimile: (703) 816-4100 SCS:kmm Signature: